

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

APR 28 2003

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.627-1.

TOTAL AMOUNT OF PAYMENT (\$ 590)

Application Number 09/490,064
Filing Date January 24, 2000
First Named Inventor Achim KNAPPIK
Examiner Name Lori A. Clow
Art Unit 1631
Attorney Docket No. 37629-0008

METHOD OF PAYMENT (check one)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 08-1641 (Docket No. 37629-0008)

Deposit Account Name Heller Ehrman White & McAuliffe LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	x	=
Independent Claims	-3** =	x	=
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	410
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____
* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 590)

SUBMITTED BY

Name (Print/Type) Paul M. Booth
Signature [Signature]

Registration No. 40,244
(Attorney/Agent)
Date April 28, 2003
Telephone (202) 912-2000
Customer No. 26633

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 37629-0008

Confirmation No.: 6694

Examiner: Lori A. Clow.

Art Unit: 1631

Applicant: Achim KNAUF

Appl. No.: 09/490,064

Filing Date: January 24, 2000

Title: PROTEIN (POLY) PEPTIDE LIBRARIES



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TRANSMITTAL OF CORRECTED DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:
Transmitted herewith are the formal drawings, Figure 35-35A-80 (80 sheets) for the captioned application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

By 

Paul M. Booth
Attorney for Applicant
Registration No. 40,244
Customer No. 26633

Date: April 28, 2003
HELLER EHRMAN WHITE &
MCAULIFFE
1666 K Street, N.W., Suite 300
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Applicant: Achim KNAPPIK et al.

Confirmation No.: 6691

Appl. No.: 09/490,064

Examiner: L. Clow

Filing Date: January 24, 2000

Art Unit: 1631

Title: Protein/(Poly) Peptide Libraries

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

It is respectfully requested that a corrected Filing Receipt be issued in connection with the captioned application in order to correct the following information in the Applicant(s) section:

Please delete [Achin Knappik] and insert --Achim Knappik--

Please delete [Andress Pluckthun, Zurich Germany] and insert --Andreas Pluckthun, Zurich Switzerland--

With regard to the Domestic Priority data As claimed by applicant section:

Please delete [THIS APPLICATION IS A DIV OF 09/025,709 02/18/1998 PAT 5,970,871 WHICH IS A DIV OF 09/025,769 02/18/98 PAT 6,300,064] and insert -- THIS APPLICATION IS A DIV OF 09/025,769 02/18/1998 PAT 5,970,871 --

With regard to the Foreign Applications section:

Please delete [GERMANY 297 02 923.1 02/19/1997]

Please delete [95 11 30210.0] and insert --95 11 3021.0--

With regard to the title of the application, Applicants request that the title of the application be corrected to read as follows:

--Protein/(Poly)Peptide Libraries--.

A copy of the Filing Receipt is returned herewith together with a copy of the Declaration and Power of Attorney. There is no fee believed due in connection with this filing since it was a PTO error, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 08-1641.

Kindly forward the corrected Filing Receipt to the undersigned attorney of record.

Respectfully submitted,

Date: April 28, 2003

HELLER EHRMAN WHITE &
MCAULIFFE
1666 K Street, N.W., Suite 300
Washington, DC 20006
Telephone: (202)
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By 

Paul M. Booth
Attorney for Applicant
Registration No. 40,244
Customer Number: 26633

FILING RECEIPT



OC00000005022318

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/490,064	01/24/2000	2854	690	047744/0107	204	8	1

Foley & Lardner
Washington Harbour
3000 K Street, N. W., Suite 500
Washington, DC 20007-5109

Date Mailed: 03/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Achin Knappik, Grafelfing, GERMANY;
Peter Pack, Munchen, GERMANY;
Liming Ge, Munchen, GERMANY;
Simon Moroney, Munchen, GERMANY;
Andress Plfuckthun, Zurich, GERMANY;

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Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 09/025,709 02/18/1998 PAT 5,970,871

Foreign Applications

GERMANY 297 02 923.1 02/19/1997

If Required, Foreign Filing License Granted 03/31/2000

**

Title

Protein (Poly) peptide libraries

Preliminary Class

101



UNITED STATES PATENT AND TRADEMARK OFFICE

1631
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov



FILE COPY

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/490,064	01/24/2000	Achim Knappik	047744/0107

26633
HELLER EHRMAN WHITE & MCAULIFFE LLP
1666 K STREET,NW
SUITE 300
WASHINGTON, DC 20006

CONFIRMATION NO. 6691



OC000000009965752

Date Mailed: 05/05/2003

CONFIRMATION NO. 8390

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application.
- ☐ A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.

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☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.

☒ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority. EP 95 11 3021.0

☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

Ruth BERNES

Customer Service Center
Office of Initial Patent Examination
(703) 308-1202